

med
Spring

Training on Horizon 2020
for Mediterranean NCPs, research managers and researchers

Marrakesh, 11-13 June 2014



Training Workshop on Proposal writing

Finances

Dr. Sabine Steiner-Lange

National Contact Point Life Sciences

PtJ and PT-DLR / Heinrich-Konen-Str. 1 / 53227 Bonn / Germany

Tel. +49 (0)228 3821 1690 / Fax 0049 (0)228 3821 1699 / sabine.steiner-lange@dlr.de

Funding Principles

- Transparency
- Co-Financing (Partner have to cover part of the costs)
- No profit
- No double financing
- Internal accounting principles of the partner can be applied

Training on Horizon 2020

for Mediterranean NCPs, research managers and researchers



med
Spring

Marrakesh, 11-13 June 2014

Reimbursement of Eligible Costs

Most common way of EU-Funding. :

- EC Reimbursement is based on accepted eligible costs
- Over the eligible costs a EC funding rate is applied
- Not profit rule applies

Training on Horizon 2020

for Mediterranean NCPs, research managers and researchers



med
Spring

Marrakesh, 11-13 June 2014

⇒ **Eligible Costs are direct + indirect costs.**

⇒ **To be eligible costs must be:**

- actual, economic and necessary for the project
- incurred during the duration of the project (exception: costs of the final reports)
- recorded in the accounts (or third parties)
- identified by the beneficiary in accordance with its accounting system, and can be attributed directly to the project
- personnel costs – actual hours worked

Have to be recorded (e.g. Excel sheet or electronic system)

Mittwoch, 9. September 2009

	Aufteilung nach:	IST
	Gesamtarbeitszeit:	06:20
Health NCP Net	3000703	00 : 00
Afrika-CPN Management	3001570	00 : 00
EU Sonderforschung	3102247	02 : 50
Afrika-CPN	3102324	03 : 30
CAAST Other	3102424	00 : 00
	verbleibende Zeit:	00 : 00

Training on Horizon 2020

for Mediterranean NCPs, research managers and researchers



med
Spring

Marrakesh, 11-13 June 2014

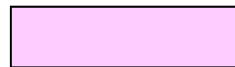
Basic Principle: Reimbursement of Eligible Costs



Project Budget
6 Mio. €



Different
Budget for the
partners



Partner 1: 1 Mio. € set aside for him.

However,

- ➡ Money is still owned by the Commission
- ➡ Partner can only keep/get money if eligible costs have occurred
- ➡ These costs have to be reported to the commission
- ➡ These cost have to be audited by an auditor

- ➔ A good pre-calculation is required

Training on Horizon 2020

for Mediterranean NCPs, research managers and researchers

Marrakesh, 11-13 June 2014



med
Spring

Cost categories 1

direct eligible costs

personnel costs

material costs

travel costs

subcontracts

project specific costs

VAT

open access costs

ineligible costs

customs duty

exchange rate loss

debts

costs in other projects

inappropriate costs

Cost categories 2

- **Direct Costs:** Attributed Directly to the project
I.e: Personnel working directly for the project, depreciation of RTD equipments,...
- **Indirect Costs:** Can not be identified or directly attributed to the project.
I.e: Telecommunication Costs, Heating, Administrative Staff, Electricity, Building,

Calculation of Indirect Costs:

- Lump sum of 25% of direct costs for all partners (except subcontracting costs)
- no reimbursement based on real costs possible (as in FP7)

Training on Horizon 2020

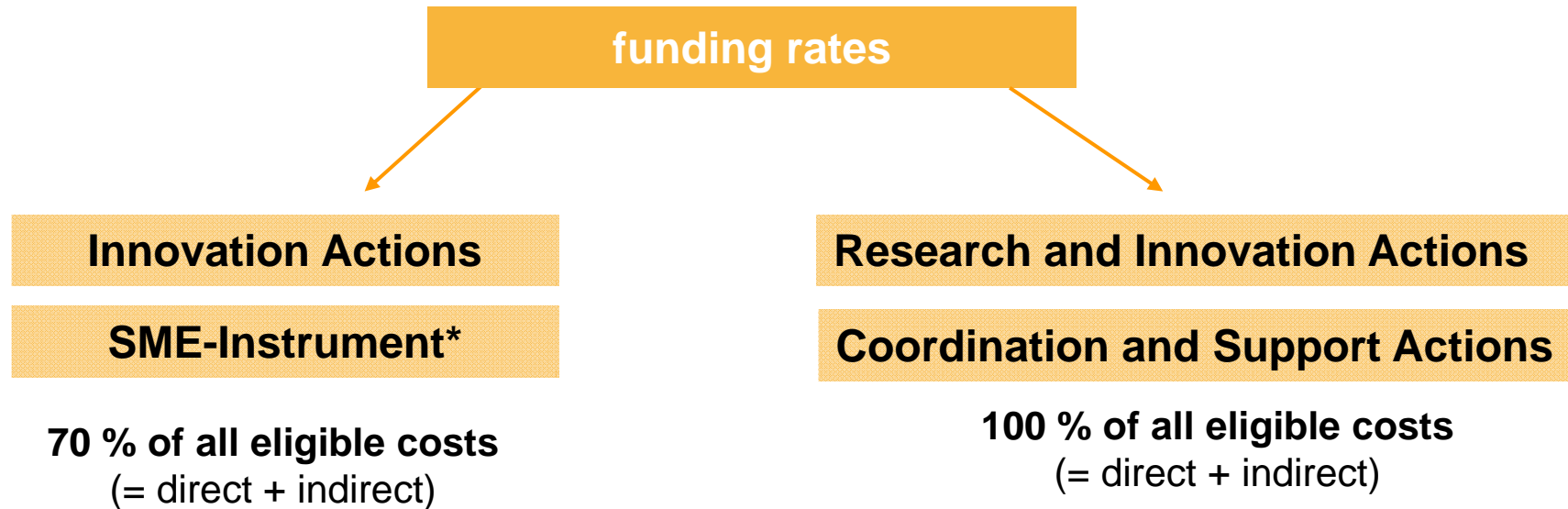
for Mediterranean NCPs, research managers and researchers

Marrakesh, 11-13 June 2014



med
Spring

Funding Rates



* Exception for SC1 in Calls 2014 / 2015, 100 % funding in phase2

Training on Horizon 2020

for Mediterranean NCPs, research managers and researchers

Marrakesh, 11-13 June 2014



med
Spring

Funding instruments

Instrument	Target group	Opportunities for others
Collaborative projects <ul style="list-style-type: none">• Research and Innovation Actions• Innovation Actions• Coordination and Support Actions	all	few subcontracting possible
SME Instrument	SME	subcontracting (universities, research institutes, etc.)
Prizes (Inducement Prizes)	all, more for companies	
ERC grants	all	few
Marie Skłodowska Curie Actions	all	few
Fast Track to Innovation	all, industry mandatory	subcontracting possible

Instrument	Target group	Opportunities for others
Co-Financing instruments		
Public Procurement <ul style="list-style-type: none"> • <i>Pre-commercial procurement</i> • <i>Public procurement of innovative solutions</i> 	(public) procurers	R&D service contracts to companies and research institutes
ERA-Net Cofund	funding agencies	Calls for proposals
Actions through Art. 185		Calls for proposals
Joint Technology Initiatives (JTIs) Art. 187		Calls for proposals

Cost Classification 3

(No Classification of European Commission)

- **Additional or Marginal Costs:** that are incurred due to the participation in the project:
I.e: New staff contracts, travels, use of consumables, subcontracts,...
- **Beneficiary Own Costs devoted to the project:** That would have incurred by the beneficiary, even if the project did not exist. But that due to the project, the beneficiary can address these resources to the project.
I.e: Costs of permanent staff, depreciation of purchased equipments, ...

Training on Horizon 2020 for Mediterranean NCPs, research managers and researchers



Marrakesh, 11-13 June 2014

Cost categories 4

European Commission - Research - Participants
 Proposal Submission Forms
 Directorate-General for Research and Innovation

Proposal ID: _____ Acronym: _____

3 - Budget for the proposal

Participant	Country	(A) Direct personnel costs/€	(B) Other direct costs/€	(C) Direct costs of sub-contracting/€	(D) Direct costs of providing financial support to third parties/€	(E) Costs of in-kind contributions not used on the beneficiary's premises/€	(F) Indirect Costs/€ (= 0.25(A+B-E))	(G) Special unit costs covering direct & indirect costs	(H) Total estimated eligible costs/€ (=A+B+C+D+F+G)	(I) Reimbursement rate	(J) Max. grant / € (=H*I)	(K) Requested grant / €
		0	0	0	0	0	0	0	100		0	0
Total		0	0	0	0	0	0	0			0	0

Direct eligible costs

Other direct costs:

- **Travel costs and related subsistence**
- **Depreciation costs of equipment, infrastructure or other assets**
- **Costs of renting or leasing** equipment, infrastructure or other
- The costs of equipment, infrastructure or other assets **contributed in-kind against payment**
- **Costs of other goods and services**
e.g. consumables and supplies, dissemination (including open access), protection of results, certificates on the financial statements, certificates on the methodology, translations and publications.

Training on Horizon 2020

for Mediterranean NCPs, research managers and researchers



med
Spring

Marrakesh, 11-13 June 2014

Article 10 Contracts to purchase goods, works or services	Article 13 Subcontracts
These contracts do not cover the implementation of action tasks, but they are necessary to implement action tasks by beneficiaries.	Subcontracts concern the implementation of action tasks; they imply the implementation of specific tasks which are part of the action and are described in Annex 1.
Do not have to be indicated in Annex 1.	Must be indicated in Annex 1.
The price for these contracts will be declared as 'other direct costs' — column D in Annex 2 — in the financial statement; they will be taken into account for the application of the flat-rate for indirect costs.	The price for the subcontracts will be declared as 'direct costs of subcontracting' — column B in Annex 2 — in the financial statement; they will not be taken into account for the application of the flat-rate for indirect costs.

Training on Horizon 2020

for Mediterranean NCPs, research managers and researchers



med
Spring

Marrakesh, 11-13 June 2014

Activity	Direct Personal	Other Direct Costs	Subcontract	3 rd parties	indirect	Not accountable
Researcher – 25% Project Research						
Salary Project Manager						
Microscope for project purpose						
Rental of an DNA sequencer						
Computer, Paper, Pens						
Lab consumables						
Costs for summer schools (room, logistics)						
Flight to conference (eg. US, 1st class)						
Flight to project meeting						
Printing for Training Material (external)						
Dinner Kick Off Project meeting						
Social event project meeting (e.g. concerts tickets)						
Clinical trial costs at hospital (no partner)						
Public relations material on project results						
Subcontracting for research work						
Website design						
Costs for greenhouse of other university						
Certificate on Financial Statements (Audit)						

Training on Horizon 2020

for Mediterranean NCPs, research managers and researchers



med
Spring

Marrakesh, 11-13 June 2014

Activity	Direct Personal	Other Direct Costs	Subcontract	3 rd parties	indirect	Not accountable
Researcher – 25% Project Research	x					
Salary Project Manager	x					
Microscope for project purpose		x				
Rental of an DNA sequencer		x				
Computer, Paper, Pens					x	
Lab consumables		x			(x)	
Costs for summer schools (room, logistics)		x				
Flight to conference (eg. US, 1st class)						x
Flight to project meeting		x				
Printing for Training Material (external)		x				
Dinner Kick Off Project meeting		x				
Social event project meeting (e.g. concerts tickets)						x
Clinical trial costs at hospital (no partner)				x		
Public relations material on project results		x				
Subcontracting for research work			x			
Website design		x?	x?			
Costs for greenhouse of other university				x		
Certificate on Financial Statements (Audit)			x			

med
Spring

Training on Horizon 2020
for Mediterranean NCPs, research managers and researchers

Marrakesh, 11-13 June 2014



Thank you for your attention